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Image# 201510209003213547

FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Com	mittee Office Use Only
1. NAME OF TYPE OR PRINT ▼ Example: I cOMMITTEE (in full) over the lin	f typing, type 12FE4M5 les.
Securities Industry and Financial Markets Association F	Political Action Committee
ADDRESS (number and street)  1101 New York Avenue, NW  8th Floor  Check if different	
than previously washington reported. (ACC)	DC 20005 - L
2. <b>FEC IDENTIFICATION NUMBER</b> ▼ CITY ▲	STATE ▲ ZIP CODE ▲
C C00431312 3. IS THIS REPORT X	NEW (N) OR (A)
4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:  April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3)  January 31 Year-End Report (YE)  July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER)  (b) Monthly Report Feb 20 (M2)  Apr 20 (M3)  (c) 12-Day PRE-Election Report for the:  Converting Co	state of
5. Covering Period 09 01 2015 through	State of 9 30 2015
I certify that I have examined this Report and to the best of my knowledge	and belief it is true, correct and complete.
Type or Print Name of Treasurer Mr. Kenneth E. Bentsen Jr.	
Signature of Treasurer  Mr. Kenneth E. Bentsen Jr.  [Electro	nically Filed] Date 10 20 2015
NOTE: Submission of false, erroneous, or incomplete information may subject th	e person signing this Report to the penalties of 2 U.S.C. §437g.
Office Use Only	FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

#### Securities Industry and Financial Markets Association Political Action Committee

2015 09 30 2015 Report Covering the Period: 09 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 147691.99 January 1, 2015 (b) Cash on Hand at 137528.68 Beginning of Reporting Period..... 295635.58 25620.08 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 163148.76 443327.57 6(a) and 6(c) for Column B)..... 58845.53 339024.34 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 104303.23 104303.23 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Securities Industry and Financial Markets Association Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	04050.40	224244.04
(i) Itemized (use Schedule A)	24053.46	221244.01
(ii) Unitemized	1207.64	18945.76
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	25261.10	240189.77
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees		
(such as PACs)	0.00	52000.00
(d) Total Contributions (add Lines	,	
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)▶	25261.10	292189.77
. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
	0.00	
. All Loans Received	0.00	0.00
. Loan Repayments Received	0.00	0.00
. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	250.00	0070.04
(Carry Totals to Line 37, page 5)	358.98	3070.81
. Refunds of Contributions Made		
to Federal Candidates and Other	0.00	275.00
Political Committees	0.00	375.00
Other Federal Receipts		2.22
(Dividends, Interest, etc.)	0.00	0.00
. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(IIOIII Ochedule 110)	0.00	0.00
(b) Lovin Funda (franc Oakadul 115)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(b) Total Transions (add To(a) and To(b))		0.00
. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	25620.08	295635.58
12, 10, 11, 10, 10, 17, and 10(0))	20020.00	233330.00
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	25620.08	295635.58

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures:     (a) Allocated Federal/Non-Federal		Juichau Tear-to-Date			
Activity (from Schedule H4)					
(i) Federal Share		0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating					
Expenditures	595.53	3274.34			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	<b>&gt;</b> 595.53	3274.34			
Transfers to Affiliated/Other Party	333.53	0214.04			
Committees		0.00			
Contributions to Federal Candidates/Committees and Other Political Committees	58250.00	335750.00			
Independent Expenditures		000700.00			
(use Schedule E)		0.00			
Coordinated Party Expenditures (2 U.S.C. §441a(d))					
(2 U.S.C. §441a(d)) (use Schedule F)		0.00			
Loan Repayments Made	0.00	0.00			
Lanca Mada	0.00	0.00			
Loans MadeRefunds of Contributions To:		0.00			
(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
(1) 5 1111 1 5 1 6 111	0.00	0.00			
<ul><li>(b) Political Party Committees</li><li>(c) Other Political Committees</li></ul>		0.00			
(such as PACs)		0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))		0.00			
Other Disbursements	0.00	0.00			
Federal Election Activity (2 U.S.C.	§431(20))				
(a) Allocated Federal Election Acti	ivity				
(from Schedule H6)	0.00	0.00			
(i) Federal Share		0.00			
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid I	· · · · · · · · · · · · · · · · · · ·	0.00			
With Federal Funds (c) Total Federal Election Activity		0.00			
Lines 30(a)(i), 30(a)(ii) and 30		0.00			
Total Disbursements (add Lines 21	(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 3		339024.34			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30					
from Line 31)	58845.53	339024.34			

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	25261.10	292189.77
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25261.10	292189.77
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	595.53	3274.34
. Offsets to Operating Expenditures (from Line 15, page 3)	358.98	3070.81
8. Net Operating Expenditures (subtract Line 37 from Line 36)	236.55	203.53

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LIN	<b>NUMBER</b>	: PAGE	E 6 OF	41		
(check only one)						
X 11a	11b	11c	12			
13	14	15	16	17		

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Full Name (Last, First, Middle Initial)	ncial Markets Association Political A	
Bonnie Bishop  Mailing Address 1005 S Clark Avenue		Date of Receipt  09 02 2015
City	State Zip Code	09 02 2015 Transaction ID : 22681945
Tampa	FL 33629-4902	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial, Inc.	Senior Vice President	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  250.00	Contribution
Full Name (Last, First, Middle Initial) Andrew E Crowell		Date of Receipt
Mailing Address 635 Gloria Road		M = M / D = D / Y = Y = Y
City	State Zin Code	09 04 2015
City Arcadia	State Zip Code CA 91006-2124	Transaction ID : 22687930
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
D.A. Davidson & Company	President & Regional Manager Los Angel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr James R. Allen		Date of Receipt
Mailing Address 1401 Northwind Road		09 11 2015
City	State Zip Code	Transaction ID: 22689083
Louisville	KY 40207-1635	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	1
Hilliard Lyons	Chief Executive Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	5000.00	Contribution
SUBTOTAL of Receipts This Page (optiona		5750.00

## SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Brand F. Meyer Date of Receipt Mailing Address 951 East Byrd Street 2015 16 City Zip Code State Transaction ID: 22692950 VA Richmond 23219-4040 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Name of Employer Occupation President, Financial Services Group Wachovia Securities, LLC Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 5000.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Timothy Sznewajs Date of Receipt Mailing Address 4764 Jackson Circle 09 15 2015 City State Zip Code Transaction ID: 22692951 CO Boulder 80303-1108 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation **Davidson Companies** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. David Ornstein Date of Receipt Mailing Address 61 Indian Field Road 09 20 2015 City State Zip Code Transaction ID: 22696133 CT Greenwich 06830-7211 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 С federal political committee. Name of Employer Occupation Barclays Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 5000.00

FEC Schedule A (Form 3X) Rev. 02/2003

-9

10250.00

Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	8	OF		41	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

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02 2015
tion ID : 22701434
Each Receipt this Period
19.23
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00 / Y - Y - Y - Y
02 2015 tion ID : 22701435
Each Receipt this Period
300.00
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02 2015
tion ID : 22701484  Each Receipt this Period
96.15

Use separate schedule(s) for each category of the Detailed Summary Page

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ı	(check only one)										
	X	11a		11b		11c		12	2		
ı		13		14		15		16	6		17

		atements may not be sold or used by any personame and address of any political committee to	
\	COMMITTEE (In Full)		
∕ Securi	ties Industry and Financia	I Markets Association Political Act	ion Committee
	e (Last, First, Middle Initial) n Chamberlain		Date of Receipt
Mailing Ad	ddress 120 Broadway 35th Floor		09 02 2015
City	001111001	State Zip Code	Transaction ID : 22701485
New York	(	NY 10271-3599	Amount of Each Receipt this Period
	umber of contributing litical committee.	C	38.50
Name of	Employer	Occupation	
Securities	Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt F	or:	Aggregate Year-to-Date ▼	
Prin	nary General	Aggregate real to Bate V	
Oth	er (specify) ▼	693.00	
3. David I	e (Last, First, Middle Initial) _ Cohen		Date of Receipt
Mailing Ad	ddress 120 Broadway		M = M / D = D / Y = Y = Y
O:t	35th Floor	Charles 7:a Code	09 02 2015
City		State Zip Code NY 10271-3599	Transaction ID : 22701487
New York		NY 10271-3599	Amount of Each Receipt this Period
	umber of contributing litical committee.	C	38.50
Name of		Occupation	
Securities	Industry and Financial Mark	Managing Director	
Receipt F	for:	Aggregate Year-to-Date ▼	
	nary General		
Oth	er (specify) ▼	693.00	
	e (Last, First, Middle Initial)		Date of Receipt
Mailing A	ddress 1101 New York Ave, NW		09 02 _2015 _
City	8th Floor	State Zip Code	09 02 2015  Transaction ID : 22701488
Washingt	ton	DC 20005-4279	Amount of Each Receipt this Period
	umber of contributing olitical committee.	C	96.15
Name of	Employer	Occupation	
Securities	Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt F			
Prin	nary General	Aggregate Year-to-Date ▼	
Oth	er (specify) ▼	1730.70	
SUBTOTAL	of Receipts This Page (optional)		173.15
TOTAL This	s Period (last page this line number of	nly)	
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Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	. 1	10	OF	41		
(check only one)										
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Any information copied from such Reports and Sour for commercial purposes, other than using the	ratements may not be sold or used by any personame and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financia	Il Markets Association Political Act	ion Committee
Full Name (Last, First, Middle Initial)  Ms. Bradley Gaskins Edgell  Mailing Address 4404 New York Ava NW		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 02 2015
City	State Zip Code	Transaction ID : 22701489
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	693.00	
Full Name (Last, First, Middle Initial)  Tracy Eichler		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor City	State Zip Code	09 02 2015
New York	NY 10271-3599	Transaction ID : 22701490  Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	346.14	
Full Name (Last, First, Middle Initial)  C. Ms. Lisa Fues		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 02 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : 22701491
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.23
Name of Employer	Occupation	
Securities Industry and Financial Mark	Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	346.14	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	76.96
TOTAL This Period (last page this line number of	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE		11	OF		41			
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		13		14		15		16	;		17	

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  A. Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y = Y
35th Floor City	State Zip Code	09 02 2015 Transaction ID : 22701539
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1384.56	
Full Name (Last, First, Middle Initial)  3. Mr. David Krasner		Date of Receipt
Mailing Address 100 Disbrow Lane	7.0.1	09 02 2015
City New Rochelle	State Zip Code NY 10804-3230	Transaction ID : 22701542
	10004-3230	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Chief Financial Officer	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	1325.10	
Full Name (Last, First, Middle Initial)  C. Ms. Nancy Donohoe Lancia	•	Date of Receipt
Mailing Address 120 Broadway 35th Floor		09
City New York	State Zip Code NY 10271-3599	Transaction ID : 22701543
FEC ID number of contributing	C 10271 3333	Amount of Each Receipt this Period  38.50
federal political committee.		
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	693.00	
SUBTOTAL of Receipts This Page (optional)		230.42
TOTAL This Period (last page this line number	er only)	

	FOR LINE NUMBER: PAGE 12 OF	
Use separate schedule(s)	(check only one)	_
for each category of the Detailed Summary Page	X 11a 11b 11c 12	
	13 14 15 16	1

Any information copied from such Reports and Sor for commercial purposes, other than using the	atements may not be sold or used by any personame and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	l Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) Theodore Lazo  Mailing Address 4404 Navy Yark Avenue NIW		Date of Receipt
Mailing Address 1101 New York Avenue, NW		09 02 _ 2015 _
8th Floor City	State Zip Code	09 02 2015 Transaction ID : 22701544
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 693.00	
Full Name (Last, First, Middle Initial)  Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	09 02 2015
Washington	DC 20005-4269	Transaction ID : 22701546  Amount of Each Receipt this Period
FEC ID number of contributing		Amount of Each Floodpt this Foriod
federal political committee.	C	96.18
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	5000.00	
Full Name (Last, First, Middle Initial)  C. Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		09 02 2015
City	State Zip Code	Transaction ID: 22701548
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1318.50	
SUBTOTAL of Receipts This Page (optional)	·····	173.18
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) X 11a 11b 11c

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 02 2015 City Zip Code State Transaction ID: 22701551 DC 20005-4269 Washington Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark President Receipt For: Aggregate Year-to-Date ▼ Primary General 3462.30 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Douglas A. Woodcock Date of Receipt Mailing Address 16700 Greenbrier Road 09 29 2015 City State Zip Code Transaction ID: 22735226 OR Lake Oswego 97034-5727 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation D.A. Davidson & Company Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Peter Kraus Date of Receipt Mailing Address 1345 Avenue of the Americas 09 29 2015 City State Zip Code Transaction ID: 22735238 NY New York 10105-0302 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Name of Employer Occupation CEO of the General Partner Alliance Bernstein Receipt For: Aggregate Year-to-Date ▼ Primary General Contribution 750.00 Other (specify) 942.35 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 41 Use separate schedule(s) for each category of the Detailed Summary Page

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		13		14		15		16		17

	statements may not be sold or used by any personal parties to be name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financia	al Markets Association Political Ac	etion Committee
Full Name (Last, First, Middle Initial)  A. Douglas Peebles		Date of Receipt
Mailing Address 94 Schuyler Road		09 29 2015
City	State Zip Code	Transaction ID : 22735241
Allendale	NJ 07401-1837	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Alliance Bernstein	Chief Investment Officer Fixed Income	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	Contribution
Full Name (Last, First, Middle Initial)  3. Antonio Sacconaghi		Date of Receipt
Mailing Address 1345 Avenue of the Americas	09 29 2015	
City	State Zip Code	Transaction ID: 22735249
New York	NY 10105-0302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
Alliance Bernstein	Researcher	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial)  C. Daniel Loewy		Date of Receipt
Mailing Address 53 Crescent Road		09 29 2015
City	State Zip Code	Transaction ID : 22735251
Port Washington	NY 11050-3328	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	1
AllianceBernstein L.P.	Director Dynamic Asset Allocation	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
SUBTOTAL of Receipts This Page (optional)		1250.00
TOTAL This Period (last page this line number	only)	

	FOR	LINE	NU	MBER	:	PAGE	: ໍ	15 (	_
Use separate schedule(s)	(ched	ck only	or	ıe)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
· · · · · · · · · · · · · · · · · · ·		12		1/		15		16	

	nd Statements may not be sold or used by any pers g the name and address of any political committee t		
NAME OF COMMITTEE (In Full) Securities Industry and Final	ncial Markets Association Political A	ction Committee	
Full Name (Last, First, Middle Initial)  Mark Manley		Date of Receipt	
Mailing Address 3 Woodstone Court		09 29 2015 T	
City	State Zip Code	Transaction ID : 22735252	
Dix Hills	NY 11746-4556	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	750.00	
Name of Employer	Occupation		
AllianceBernstein L.P.	Senior Vice President		
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	Contribution	
Full Name (Last, First, Middle Initial)  3. James P Wallin	•	Date of Receipt	
Mailing Address PO Box 90		09 29 2015	
City	State Zip Code	Transaction ID : 22735253	
Cold Spring Harbor	NY 11724-0090	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	750.00	
Name of Employer	Occupation	1	
AllianceBernstein L.P.	Senior VP	_	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	Contribution	
Full Name (Last, First, Middle Initial)  C. Robert B Davidson		Date of Receipt	
Mailing Address 60 Riverside Drive Apt. 4A		09 29 2015	
City	State Zip Code	Transaction ID : 22735254	
New York	NY 10024-6108	Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	500.00	
Name of Employer	Name of Employer Occupation		
AllianceBernstein L.P.	Director of Municipal Bond Management		
Receipt For:	Aggregate Year-to-Date ▼		
Primary General  Other (specify) ▼	500.00	Contribution	
SUBTOTAL of Receipts This Page (optional	ıl)	2000.00	
TOTAL This Period (last page this line num	nber only)		

FOR LINE NUMBER: PAGE 16 OF 41 Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any personal part of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  A. Robert Keith		Date of Receipt
Mailing Address 19 Old Farm Road		09
City	State Zip Code	Transaction ID: 22735256
Darien	CT 06820-6100	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
AllianceBernstein L.P.	Head of Institutional and Retail Clien	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial)  3. Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 16 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 22744690
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1826.85	
Full Name (Last, First, Middle Initial)  C. Ms. Bradley Gaskins Edgell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 16 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 22744691
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	731.50	
SUBTOTAL of Receipts This Page (optional)		634.65
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Tracy Eichler Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 16 City Zip Code State Transaction ID: 22744692 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Director Receipt For: Aggregate Year-to-Date ▼ Primary General 365.37 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Lisa Fues Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 09 16 2015 City State Zip Code Transaction ID: 22744693 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 365.37 Other (specify) Full Name (Last, First, Middle Initial) c. Marin E. Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 09 16 2015 City Zip Code State Transaction ID: 22744694 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1461.48 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

Use separate schedule(s) for each category of the Detailed Summary Page

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ı	FOR LINE	NUMBER:	PAGE	: 18 OF	41			
ı	(check only	(check only one)						
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  Mr. David Krasner  Mailing Address 100 Disbrow Lane  City  New Rochelle  FEC ID number of contributing federal political committee.  Name of Employer  Securities Industry and Financial Mark  Receipt For:  Primary  General  Other (specify)	State Zip Code NY 10804-3230  C Occupation Chief Financial Officer  Aggregate Year-to-Date ▼  1440.10	Date of Receipt  M M M
Full Name (Last, First, Middle Initial)  Ms. Nancy Donohoe Lancia  Mailing Address 120 Broadway 35th Floor  City  New York  FEC ID number of contributing federal political committee.  Name of Employer Securities Industry and Financial Mark  Receipt For:  Primary General Other (specify)	State Zip Code NY 10271-3599  C  Occupation Managing Director, State Govt. Affairs  Aggregate Year-to-Date ▼  731.50	Date of Receipt  09 16 2015  Transaction ID: 22744698  Amount of Each Receipt this Period  38.50
Full Name (Last, First, Middle Initial)  Theodore Lazo  Mailing Address 1101 New York Avenue, NW 8th Floor  City Washington  FEC ID number of contributing federal political committee.  Name of Employer  Securities Industry and Financial Mark  Receipt For:  Primary General Other (specify)	State Zip Code DC 20005-4279  C  Occupation Managing Director  Aggregate Year-to-Date ▼  731.50	Date of Receipt  09 16 2015  Transaction ID: 22744699  Amount of Each Receipt this Period  38.50
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	192.00
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Mr. Thomas F Price Date of Receipt Mailing Address 120 Broadway 35th Floor 2015 16 City Zip Code State Transaction ID: 22744702 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1357.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mr. Kenneth E. Bentsen Jr. Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 09 16 2015 City State Zip Code Transaction ID: 22744705 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark President Receipt For: Aggregate Year-to-Date ▼ Primary General 3654.65 Other (specify) Full Name (Last, First, Middle Initial) Ms. Lisa Bleier Date of Receipt Mailing Address 1101 New York Ave, NW 09 16 2015 8th Floor City Zip Code State Transaction ID: 22744706 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 19.23 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 365.37 Other (specify) 250.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ananias Blocker Date of Receipt Mailing Address 1101 New York Avenue, NW Suite 800 2015 16 City Zip Code State Transaction ID: 22744707 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark **Executive Vice President** Receipt For: Aggregate Year-to-Date ▼ Primary General 2700.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Kyle Brandon Date of Receipt Mailing Address 120 Broadway 35th Floor 09 16 2015 City State Zip Code Transaction ID: 22744708 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, DOR Receipt For: Aggregate Year-to-Date ▼ Primary General 1826.85 Other (specify) Full Name (Last, First, Middle Initial) c. Ms Kim Chamberlain Date of Receipt Mailing Address 120 Broadway 35th Floor 09 16 2015 City Zip Code State Transaction ID: 22744709 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel, State Gov Receipt For: Aggregate Year-to-Date ▼ Primary General 731.50 Other (specify) 434.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial)  A. David L Cohen		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor City	State Zip Code	09 16 2015 Transaction ID : 22744711
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	731.50	
Full Name (Last, First, Middle Initial)  Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	Chata Zin Code	09 30 2015
City Washington	State Zip Code DC 20005-4269	Transaction ID: 22744734
FEC ID number of contributing federal political committee.	C 20003-4209	Amount of Each Receipt this Period
Name of Employer Securities Industry and Financial Mark	Occupation President	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 3847.00	
Full Name (Last, First, Middle Initial)  C. Ms. Lisa Bleier		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		09 30 2015
City Washington	State Zip Code DC 20005-4269	Transaction ID : 22744735
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	384.60	
SUBTOTAL of Receipts This Page (optional)		250.08
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial)  A. Ananias Blocker		Date of Receipt
Mailing Address 1101 New York Avenue, NW Suite 800		09 30 2015
City	State Zip Code	Transaction ID: 22744736
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	3000.00	
Full Name (Last, First, Middle Initial)  Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 30 2015
City	State Zip Code	Transaction ID: 22744737
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1923.00	
Full Name (Last, First, Middle Initial)  C. Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway 35th Floor		09 30 / Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 22744738  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	770.00	
SUBTOTAL of Receipts This Page (optional)		434.65
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Cheryl Crispen Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 30 2015 City Zip Code State Transaction ID: 22744740 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer Occupation Executive Vice President, Communicatio Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1923.00 Other (specify) Full Name (Last, First, Middle Initial) B. Ms. Bradley Gaskins Edgell Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 09 30 2015 City State Zip Code Transaction ID: 22744741 DC Washington 20005-4269 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name (Last, First, Middle Initial) Tracy Eichler Date of Receipt Mailing Address 120 Broadway 30 09 2015 35th Floor City Zip Code State Transaction ID: 22744742 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 19.23 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Director Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) 153.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Lisa Fues Date of Receipt Mailing Address 1101 New York Ave, NW 8th Floor 30 2015 City Zip Code State Transaction ID: 22744743 DC 20005-4269 Washington Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Name of Employer Occupation Vice President Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) Full Name (Last, First, Middle Initial) B. Marin E. Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 09 30 2015 City State Zip Code Transaction ID: 22744744 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 76.92 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director & Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1538.40 Other (specify) Full Name (Last, First, Middle Initial) c. Mr. David Krasner Date of Receipt Mailing Address 100 Disbrow Lane 30 09 2015 City Zip Code State Transaction ID: 22744747 NY New Rochelle 10804-3230 Amount of Each Receipt this Period FEC ID number of contributing 115.00 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Chief Financial Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1555.10 Other (specify) 211.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia Date of Receipt Mailing Address 120 Broadway 35th Floor 30 2015 City Zip Code State Transaction ID: 22744748 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director, State Govt. Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name (Last, First, Middle Initial) B. Theodore Lazo Date of Receipt Mailing Address 1101 New York Avenue, NW 8th Floor 09 30 2015 City State Zip Code Transaction ID: 22744749 DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name (Last, First, Middle Initial) Mr. Thomas F Price Date of Receipt Mailing Address 120 Broadway 09 30 2015 35th Floor City Zip Code State Transaction ID: 22744752 NY New York 10271-3599 Amount of Each Receipt this Period FEC ID number of contributing 38.50 С federal political committee. Name of Employer Occupation Securities Industry and Financial Mark Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1395.50 Other (specify) 115.50 SUBTOTAL of Receipts This Page (optional)..... 24053.46 TOTAL This Period (last page this line number only).....

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21	CHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 26 OF 41								
	EMIZED RECEIPTS		Use separate schedule(s) for each category of the	(check only one)								
"	LIMIZED RECEIP 13		Detailed Summary Page	11a 11b 11c 12								
Ar	ny information copied from such Reports and S	tatements ma	l ay not be sold or used by any p	erson for the purpose of soliciting contributions								
or	for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	name and a	iddress of any political committee	e to solicit contributions from such committee.								
	Securities Industry and Financia	al Markets	s Association Political	Action Committee								
Α.	Full Name (Last, First, Middle Initial) Securities Industry and Financial Mark	kets Associ	ation	Date of Receipt								
	Mailing Address 120 Broadway			M = M / D = D / Y = Y = Y								
	Floor 35 City	State	Zip Code	09 21 2015 Transaction ID : 22744886								
	New York	NY	10271	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С		358.98								
	Name of Employer	Occupation	1									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General	199.194.11		Reimbursement of Bank Fees								
	Other (specify)		3070.81									
— В.	Full Name (Last, First, Middle Initial)			Date of Receipt								
	Mailing Address			M = M / D = D / Y = Y = Y								
	City	State	Zip Code	_								
	City	State	Zip Code	Amount of Each Receipt this Period								
	FEC ID number of contributing			Amount of Each Fleedigt and Ferrod								
	federal political committee.	C		7								
	Name of Employer	Occupation	1									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General	00 0		1								
	Other (specify) ▼		<u> </u>									
C.	Full Name (Last, First, Middle Initial)			Date of Receipt								
	Mailing Address			M = M / D = D / Y = Y = Y								
	City	State	Zip Code	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С										
	Name of Employer	Occupation										
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General	33. 234.0		1								
	Other (specify)											
Г				·								
s	SUBTOTAL of Receipts This Page (optional)			358.98								

TOTAL This Period (last page this line number only).....

358.98

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SC	CHEDULE B (FEC Form 3X)			EOD LIN	FOR LINE NUMBER: PAGE 27 OF 41										
	EMIZED DISBURSEMENTS		arate schedule(s)	(check o											
			category of the Summary Page	) X 21	1b [	22	2	3		24	25	26			
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	NAME OF COMMITTEE (In Full)	ie and add	ress or any point	car committee	- 10 30	Olicit Col	Hillbuti	0113	11011	1 Sucii	COMMIN	illee.			
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Δ	Full Name (Last, First, Middle Initial)	lowkoto i	A a a a ai a ti a m			Date of	f Diehi	ırca	men	+					
Λ.	Securities Industry and Financial M	iarkets /	Association			M M	_		D		YY	V			
	Mailing Address 120 Broadway				09	<u> </u>	0.	_		2015					
	Floor 35							_	_						
	City New York	State NY	Zip Code 10271			Trans	action	ı ID	: 227	742386	j				
	Purpose of Disbursement	INI	10271			-									
	Refund of June bank fee reimbursement			001	Ш	Amount of Each Disbursement this Period									
	Candidate Name			Category/			-	_	_		0.6	0.55			
				Type			7			7	23	36.55			
	Office Sought: House Disburser														
	Senate President	Primary Other (spe	General			Refund	of Jun	e ba	ank fe	e reim	bursen	nent			
	State: District:	Other (spe	city) $\blacktriangledown$												
_	Full Name (Last, First, Middle Initial)														
В.						Date of	f Disbu	ırse	men	t					
						M = M	/	D =	D	/ Y	Y	Y			
	Mailing Address 1400 K Street, NW					09	1 1	0	2		2015				
	City	State	Zip Code			Trans		- ID	- 22	744686	<del></del>				
	Washington	DC	20005			ITAIIS	actioi	טו ו	. 22	144000	,				
	Purpose of Disbursement Credit Card Fees			001	1	Amount	t of Ea	ach	Dich	uroom	ant thia	Pariod			
	Candidate Name				ч	Amoun	l OI E	1CII	DISD	urseme	#IIL UIIS	renou			
				Category/ Type		L.					18	37.99			
	Office Sought: House Disbursen	nent For:													
		Primary	General			Credit Card Fees									
		Other (spe	cify) 🔻												
_	State: District:							_							
C	Full Name (Last, First, Middle Initial) PNC Bank					Date of	f Dishi	ırse	men	t					
Ο.	PING DATIK					M M	_		D		YY	V			
	Mailing Address 1400 K Street, NW					09		02			2015	- '			
	•	State DC	Zip Code 20005			Trans	action	ı ID	: 22	744688	3				
	Purpose of Disbursement	DC	20005		$\blacksquare$										
	Bank Fees			001		Amount	t of Ea	ach	Disb	urseme	ent this	Period			
Candidate Name				Category/		Amount of Each Disbursement this Period									
				Туре			, ,			,	3	88.24			
	Office Sought: House Disburser		Comerci			_									
	Senate President	Primary Other (spe	General			Bank Fees									
	State: District:	Cirici (Spe	ony) ▼												
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s	UBTOTAL of Disbursements This Page (optional)				•	L.				(8)	46	2.78			
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т	OTAL This Period (last page this line number only)				•	L.				- (N) - 1		1			

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SCHEDULE B (FEC Form 3X)		EOD LINE	E NUMBER: PAGE 28 OF 41									
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	NONDELL.									
II LIVIIZED DISDUNGLIVILINIS	for each category of the Detailed Summary Page	X 21b	22 23 24 25 26									
	Detailed Summary Page	27	28a 28b 28c 29 30b									
Any information copied from such Reports and State												
or for commercial purposes, other than using the nat												
NAME OF COMMITTEE (In Full)												
$ \; angle$ Securities Industry and Financial N	Markets Association	Political Ac	tion Committee									
Full Name (Last, First, Middle Initial)												
A. PNC Bank			Date of Disbursement									
I NO Bank			M M / D D / Y Y Y Y									
Mailing Address 1400 K Street, NW			09 08 2015									
011												
City Washington	State Zip Code DC 20005		Transaction ID: 22744689									
Purpose of Disbursement	20005											
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Candidate Name		Category/										
		Type	132.75									
	ment For:											
Senate President	Primary General		Credit Card Fees									
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A. Poliquin For Congress			Date of Disbursement								
Mailing Address PO Box 50			09 02 2015								
City	state Zip Code		Transaction ID : 22681946								
	ME 04963		11a115aCtio11 ID . 22001540								
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Candidate Name		011	Amount of Each Disbursement this Period								
Rep. Bruce Poliquin		Category/ Type	500.00								
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State: ME District: 02	,										
Full Name (Last, First, Middle Initial)											
B. Robert Hurt For Congress			Date of Disbursement								
Mailing Address PO Box 8			09 02 2015								
Chatham	State Zip Code VA 24531		Transaction ID : 22682012								
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period								
Candidate Name		Category/	4000.00								
Rep. Robert Hurt		Type	1000.00								
Senate	nent For: 2016 Primary General Other (specify)		Contribution								
Full Name (Last, First, Middle Initial)				_							
C. Portman For Senate Committee			Date of Disbursement								
Mailing Address 9856 Archer Lane			09 02 2015								
City	State Zip Code		Transaction ID - 00000000	_							
	OH 43017		Transaction ID: 22682023								
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period								
Candidate Name		Category/	2500.00								
Sen. Rob Portman		Type	2500.00								
X Senate	nent For: 2016  Primary General  Other (specify)		Contribution								
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A. Stivers For Congress			Date of Disbursement							
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Mailing Address 4679 Winterset Drive			09 04 2015							
City	State Zip Code		Transaction ID : 22004052							
Columbus	OH 43220		Transaction ID: 22684052							
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period							
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Rep. Steve Stivers		Category/ Type	1500.00							
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B. Steve Fincher For Congress			Date of Disbursement							
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Mailing Address PO Box 11153			09 09 2015							
City Jackson	State Zip Code TN 38308		Transaction ID : 22688005							
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Rep. Stephen Lee Fincher  Office Sought:  House Disburse	ment For: 2016	Туре	, , ,							
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President	Other (specify) ▼		Contribution							
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C. Mulvaney For Congress			Date of Disbursement							
Mailing Address P.O. Box 1975			09 09 2015							
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City	State Zip Code		Transaction ID : 22688006							
Lancaster	State Zip Code SC 29721		Transaction ID : 22688006							
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Full Name (Last, First, Middle Initial)									
A. David Scott For Congress			Date of Disbursement						
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City	State Zip Code		Tarana dia di ID. 0000007						
Riverdale	GA 30296		Transaction ID: 22688007						
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Rep. David Albert Scott		Type	5000.00						
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B. Pete Sessions For Congress			Date of Disbursement						
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Mailing Address PO Box 823047			09 09 2015						
City Dallas	State Zip Code TX 75382		Transaction ID: 22688008						
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Rep. Pete Sessions  Office Sought:  House Disburser	nent For: 2016	Туре							
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C. Kuster For Congress, Inc.			Date of Disbursement						
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Mailing Address P.O. Box 1498  City Concord	State Zip Code NH 03302		09 09 / 2015						
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В.	Friends for Gregory Meeks						Date 0	טפוט וו		_				
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C.	Blaine For Congress						Date o	of Disb	urser	ment				
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	Mailing Address PO Box 125						09		10	)		2015		
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	Full Name (Last, First, Middle Initial)				D			
Α.	Tony Cardenas For Congress				Date of L	isburseme		
	Mailing Address 3700 Wilshire Blvd Suite 1050-B				09	17	2015	
	•	State CA	Zip Code 90010		Transac	tion ID : 22	2691961	
	Los Angeles Purpose of Disbursement	<u> </u>	90010					
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	Rep. Tony Cardenas			Type		7	1000.00	
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В.	Jim Himes For Congress				Date of D	isburseme	nt	
	Jim Filmes For Congress				M M	/ D D	/	
	Mailing Address 857 Post Road, #312				09	17	2015	
	,	State	Zip Code 06824		Transac	tion ID : 2	2692058	
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City		State	Zip Code		Transaction ID : 2	2602424
Portland		OR	97232		Transaction iD . 2	2092131
Purpose of Disbur Contribution	sement			011	Amount of Each Dis	sbursement this Period
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Sen. Ron W	vden			Category/ Type		1000.00
Office Sought:	House	Disbursement For	: 2016	71	,	,
	X Senate	X Primary	General		Contribution	
	President	Other (s	specify) 🔻			
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_	First, Middle Initial)				Date of Disburseme	unt
B. Vargas For	Congress				M M / D D	/
Mailing Address ;	330 Encinitas Blvd., Su	uite 101			09 17	2015
City		State	Zip Code		Transaction ID: 2	2692165
Encinitas  Purpose of Disbur	sement	CA	92024			
Contribution	Comon			011	Amount of Each Dis	sbursement this Period
Candidate Name				Category/		
Rep. Juan V	′argas			Type	7	1000.00
Office Sought:	House	Disbursement For				
	Senate President	Primary Other (s	General		Contribution	
State: CA	District: 51	Other (s	specify) 🔻			
	First, Middle Initial)					
C. Richard Bur					Date of Disburseme	ent
					M M / D D	/ Y Y Y Y Y
Mailing Address F	Post Office Box 5928				09 17	2015
City		State	Zip Code			
City Winston-Salem		NC	27113		Transaction ID: 2	2692166
Purpose of Disbur	sement					
Contribution				011	Amount of Each Dis	sbursement this Period
Candidate Name	al Diller			Category/		1000.00
Sen. Richar	House	Disbursement For	. 0040	Туре		1000.00
Office Sought.		Primary	General		Contribution	
	Senate I					
	Senate President		specify) $\blacktriangledown$		Contribution	
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State: NC	President				Continuation	
	President	Other (s	specify) 🔻	····· •	Contribution	3000.00
SUBTOTAL of Disb	President District:	Other (s	specify) 🔻		Contribution	3000.00

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$\overline{}$	NAME OF COMMITTEE (In Full)		, , ,			
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_	Full Name (Last, First, Middle Initial)				5	
Α.	Clay Jr. For Congress				Date of Disbursement	
	Mailing Address PO Box 4544				09 17	2015
	Mailing / Marcos T O Box 4544				03	2010
	City	State	Zip Code		Transaction ID : 2269	2160
		МО	63108		Transaction ID . 2209	2100
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	Rep. William Lacy Clay Jr.			Category/ Type		1000.00
		nent For: 20	16	71	,	,
		Primary	General		Contribution	
		Other (specify	<b>/</b> ) <b>▼</b>			
	State: MO District: 01					
В.	Full Name (Last, First, Middle Initial)				Date of Disbursement	
٥.	Walters For Congress				M M / D D /	Y
	Mailing Address C/O 8001 Irvine Center Drive, #400	)			09 17	2015
	,		Zip Code		Transaction ID: 2269	2173
	Irvine Purpose of Disbursement	CA	92618			
	Contribution			011	Amount of Each Disbur	sement this Period
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	Rep. Mimi Walters			Type	-	1000.00
		nent For: 20				
		Primary	General		Contribution	
	State: CA District: 45	Other (specify	<i>(</i> ) <b>▼</b>			
_	Full Name (Last, First, Middle Initial)					
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C.	Friends Of Pat Toomey				Date of Disbursement	
C.	Friends Of Pat Toomey				Date of Disbursement	Y
C.	Friends Of Pat Toomey  Mailing Address 2720 Jordan Road					2015
C.	Mailing Address 2720 Jordan Road	'toto	Zin Codo		M M / D D /	
C.	Mailing Address 2720 Jordan Road  City		Zip Code 18069		M M / D D /	2015
C.	Mailing Address 2720 Jordan Road  City Sorefield  Purpose of Disbursement		•		09 / 17	2015
C.	Mailing Address 2720 Jordan Road  City S Orefield  Purpose of Disbursement Contribution		•	011	09 / 17	2015
C.	Mailing Address 2720 Jordan Road  City S Orefield  Purpose of Disbursement Contribution  Candidate Name		18069	Category/	09 / 17 / Transaction ID : 2269	2015 22175 Esement this Period
C.	Mailing Address 2720 Jordan Road  City Sorefield  Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey	PA	18069		09 / 17 / Transaction ID : 2269	2015
C.	Mailing Address 2720 Jordan Road  City S Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Disbursen	PA  nent For: 20	18069	Category/	Transaction ID : 2269  Amount of Each Disbur	2015 22175 Esement this Period
C.	Mailing Address 2720 Jordan Road  City S Orefield Purpose of Disbursement Contribution  Candidate Name Sen. Patrick Toomey  Office Sought: House Disbursen Senate	PA	18069  16    General	Category/	09 / 17 / Transaction ID : 2269	2015 22175 Esement this Period
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NAME OF COMMITTEE (In Full)	le und dadress of arry pointed	committee to constitutions from sacin committee.	-
Securities Industry and Financial M	larkets Association Po	olitical Action Committee	
Cecunities industry and i mandariv	iarkets / tooolation i k	ontical Action Committee	
Full Name (Last, First, Middle Initial)			
A. People For Patty Murray		Date of Disbursement	
Mailing Address PO Box 3662		09 17 2015	
		4	
,	State Zip Code	Transaction ID : 22692176	
Seattle	WA 98124		
Purpose of Disbursement	Г	011 Amount of Each Disbursement this Period	
Candidate Name			
Sen. Patty Murray	'	Category/ Type 1000.00	
	nent For: 2016		
∑ Senate	Primary General		
President	Other (specify) ▼		
State: WA District:			_
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B. Friends Of Jim Clyburn		M M / D D / Y Y Y Y	
Mailing Address PO Box 12567		09 22 2015	
City : Columbia	State Zip Code SC 29211	Transaction ID : 22696925	
Purpose of Disbursement	29211		
Contribution		011 Amount of Each Disbursement this Period	
Candidate Name		Category/ 1750.00	
Rep. James E. Clyburn		Type 1750.00	
	ment For: 2016		
President	Primary General Other (specify) ▼	Contribution	
State: SC District: 06	cano: (opcony) V		
Full Name (Last, First, Middle Initial)			_
C. Stivers For Congress		Date of Disbursement	
		M M / D D / Y Y Y Y	
Mailing Address 4679 Winterset Drive		09 22 2015	
City	State Zip Code		_
Columbus	OH 43220	Transaction ID : 22696926	
Purpose of Disbursement Contribution	Г		
Candidate Name		011 Amount of Each Disbursement this Period	
Rep. Steve Stivers		Category/ Type 1000.00	
	nent For: 2016	1350	
Senate			
	Primary General	Contribution	
President	Primary General Other (specify) ▼	Contribution	
State: OH District: 15		Contribution	
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	Other (specify) ▼	2750.00	

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NAME OF COMMITTEE (In Full)					
Securities Industry and Financial N	/larkets As	sociation P	Political Act	tion Committee	2
	narkoto 7 to	30014110111	Ontiodi 7 to		,
Full Name (Last, First, Middle Initial)					
A. Denny Heck For Congress				Date of Disbursem	ent
Mailing Address PO Box 235				09 22	2015
Mailing Address FO Box 255				09 22	2013
City	State Z	Zip Code		T	0000007
Olympia	WA 9	98507		Transaction ID:	22696927
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	ment For: 201		Туре	,	
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B. Emmer For Congress				Date of Disbursem	ent
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Mailing Address PO Box 998				09 22	2015
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Purpose of Disbursement Contribution  Candidate Name  Rep. Tom Emmer Jr.  Office Sought:  House Senate President State: MN District: 06  Full Name (Last, First, Middle Initial)  C. Friends Of David Jolly	ment For: 201	16 General	Category/	Contribution  Date of Disbursem	1000.00 ent
Purpose of Disbursement Contribution  Candidate Name  Rep. Tom Emmer Jr.  Office Sought:  House Senate President State: MN District: 06  Full Name (Last, First, Middle Initial)	ment For: 201	16 General	Category/	Contribution  Date of Disbursem	1000.00 ent
Purpose of Disbursement Contribution  Candidate Name  Rep. Tom Emmer Jr.  Office Sought:  House Senate President State: MN District: 06  Full Name (Last, First, Middle Initial)  C. Friends Of David Jolly  Mailing Address P. O. Box 1158	ment For: 201 Primary [ Other (specify	16 General	Category/	Contribution  Date of Disbursem  09 / 22	1000.00  ent  / Y Y Y Y Y Y Y Y Y 2015
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Purpose of Disbursement Contribution  Candidate Name  Rep. Tom Emmer Jr.  Office Sought:  House Senate President State: MN District: 06  Full Name (Last, First, Middle Initial)  C. Friends Of David Jolly  Mailing Address P. O. Box 1158  City Indian Rocks Beach Purpose of Disbursement Contribution  Candidate Name  Rep. David Jolly	ment For: 201 Primary Other (specify	General  Zip Code 33785	Category/ Type	Contribution  Date of Disbursem  09 / 22  Transaction ID:	1000.00  ent  2015  22696935  isbursement this Period
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Purpose of Disbursement Contribution  Candidate Name  Rep. Tom Emmer Jr.  Office Sought:  House Senate President State: MN District: 06  Full Name (Last, First, Middle Initial)  C. Friends Of David Jolly  Mailing Address P. O. Box 1158  City Indian Rocks Beach Purpose of Disbursement Contribution  Candidate Name  Rep. David Jolly  Office Sought:  House Senate President State: FL District:	ment For: 201 Primary Other (specify  State Z FL 3  ment For: 201 Primary Other (specify	General  Zip Code 33785	O11 Category/ Type	Contribution  Date of Disbursem  M M M / D D D  09 22  Transaction ID:  Amount of Each D	1000.00  ent  / Y Y Y Y Y 2015  22696935  isbursement this Period 2500.00
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_	Full Name (Last, First, Middle Initial)				
Α.	Jim Himes For Congress			Date of Disbursement	
	Mailing Address 857 Post Road, #312			09 29 2015	
	Maining 7,636,666 657 1 651 1 664, #512			23 2010	
	,	State Zip Code		Transaction ID : 22704583	
	Fairfield	CT 06824		Transaction ib . 22704303	
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	Candidate Name			Amount of Each Disbursement this Feriod	-
	Rep. James A. Himes		Category/ Type	1000.00	
		nent For: 2016	- 7		
	Senate	Primary General		Contribution	
		Other (specify) ▼			
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R	Full Name (Last, First, Middle Initial)			Date of Disbursement	
٥.	Friends Of Jeb Hensarling			M M / D D / Y Y Y Y	
	Mailing Address PO Box 820504			09 29 2015	
	,	State Zip Code		Transaction ID: 22704584	
	Dallas Purpose of Disbursement	TX 75382			
	Contribution		011	Amount of Each Disbursement this Period	
	Candidate Name		Category/		
	Rep. Jeb Hensarling		Type	4000.00	J
		nent For: 2016			
		Primary General		Contribution	
	President State: TX District: 05	Other (specify) ▼			
_	Full Name (Last, First, Middle Initial)				_
C.	Friends Of Bennie Thompson			Date of Disbursement	
	- Horido Of Borinio Triompoon			M M / D D / Y Y Y	
	Mailing Address P.O. Box 100			09 29 2015	
	C:to.	State Zip Code			
	,	State Zip Code MS 39041		Transaction ID: 22704585	
	Purpose of Disbursement				
	Contribution		011	Amount of Each Disbursement this Period	
	Candidate Name		Category/	1000.00	1
	Rep. Bennie G. Thompson  Office Sought: House Disbursen	nent For: 2016	Туре	1000.00	J
		Primary General		Contribution	
		Other (specify)		Contribution	
	State: MS District: 02	• • • •			
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NAME OF COMMITTEE (In Full)				
Securities Industry and Financial N	Markets Association F	Political Ac	tion Committee	
Full Name (Look First Middle Initial)				
Full Name (Last, First, Middle Initial)			Date of Disbursement	
Pete Aguilar For Congress				
Mailing Address PO Box 10954			09 29 2015	
Statement   C Box 1000				
City	State Zip Code		Transaction ID - 20704500	
San Bernardino	CA 92423		Transaction ID: 22704586	
Purpose of Disbursement				
Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	1000.00	
Rep. Pete Aguilar		Type	1000.00	
	ment For: 2016			
Senate	Primary General		Contribution	
President	Other (specify) ▼			
State: CA District: 31				
Full Name (Last, First, Middle Initial)				
Mchenry For Congress			Date of Disbursement	
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Mailing Address PO Box 1406		09 29 2015		
Cit.				
City Hickory	State Zip Code NC 28603		Transaction ID: 22704590	
Purpose of Disbursement	20003			
Contribution		011	Amount of Each Disbursement this Period	
Candidate Name				
Rep. Patrick Timothy McHenry		Category/ Type	5000.00	
	ment For: 2016	.,,,,	,	
Senate	Primary General		Contribution	
President	Other (specify) ▼		Contribution	
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Full Name (Last, First, Middle Initial)				
Friends Of David Jolly			Date of Disbursement	
Therias of Bavia Golly		M M / D D / Y Y Y Y		
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City	State Zip Code		Transaction ID : 22744887	
Indian Rocks Beach				
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	ment For: 2016		[MEMO ITEM]	
Senate Senate	Primary General		Contribution Funds Reported On <enter repo<="" td=""></enter>	
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Full Name (Last, First, Middle Initial)  A. Friends Of David Jolly  Mailing Address P. O. Box 1158			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Indian Rocks Beach	rate Zip Code FL 33785		Transaction ID : 22744888
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Full Name (Last, First, Middle Initial)  B. Montanans For Tester  Mailing Address PO Box 3171			Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Billings Purpose of Disbursement Contribution  Candidate Name  Sen. Jon Tester  Office Sought:  House  Senate  Disbursement  Senate	ent For: 2018 Primary General Other (specify)	011 Category/ Type	Transaction ID : 22744889  Amount of Each Disbursement this Period  1000.00  Contribution
Full Name (Last, First, Middle Initial)  Mailing Address			Date of Disbursement
Purpose of Disbursement  Candidate Name  Office Sought: House Disbursement  Senate President C	ent For:  Primary General  Other (specify)    Toda   Toda   Toda   Toda   Toda   Toda   Toda   Toda   Toda   Toda	Category/ Type	Amount of Each Disbursement this Period
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